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CONFIRMATION NO. 6935

|  |   |                               |   |                            |
|--|---|-------------------------------|---|----------------------------|
| <b>SERIAL NUMBER</b><br>09/927,868   | <b>FILING OR 371(c) DATE</b><br>08/10/2001<br><b>RULE</b>   | <b>CLASS</b><br>705           | <b>GROUP ART UNIT</b><br>3693   | <b>ATTORNEY DOCKET NO.</b> |
| <b>APPLICANTS</b><br>Edward R. Howorka, Morris Plains, NJ;   |   |                               |   |                            |
| <b>** CONTINUING DATA *****</b><br>This application is a CON of 09/029,181 06/15/1998 PAT 6,282,521 which is a 371 of PCT/US96/14086 08/28/1996 which claims benefit of 60/002,856 08/28/1995 <i>gmb</i>   |   |                               |   |                            |
| <b>** FOREIGN APPLICATIONS *****</b>   |   |                               |   |                            |
| <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b><br><b>** 08/24/2001</b>   |   |                               |   |                            |
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no<br>35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met<br>Verified and Acknowledged <i>Allowance</i><br>Examiner's Signature <i>[Signature]</i> Initials |   | <b>STATE OR COUNTRY</b><br>NJ | <b>SHEETS DRAWING</b><br>4  | <b>TOTAL CLAIMS</b><br>10  |
|  |   |                               | <b>INDEPENDENT CLAIMS</b><br>1  |                            |
| <b>ADDRESS</b><br>STEVEN I. WEISBURD<br>DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP<br>1177 AVENUE OF THE AMERICAS<br>41 ST FLOOR<br>NEW YORK, NY10036-2714   |   |                               |   |                            |
| <b>TITLE</b><br>Anonymous trading system with improved quote input capabilities  |   |                               |   |                            |
| <b>FILING FEE RECEIVED</b><br>840  | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                               | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |                            |